

Algebraic Structures and Applications: From Transformation Semigroups to Cryptography, Blockchain, and Computational Mathematics

Michael N. John

Department of Mathematics,
Akwa Ibom State University, Nigeria
storm4help1@gmail.com

Etim, Uduak James

Department of Mathematics,
Akwa Ibom State University, Nigeria
uduakjamesetim2@gmail.com

UdoakaOtobong. G.

Department of Mathematics,
Akwa Ibom State University, Nigeria
otobongawasi@aksu.edu.ng

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Abstract

This research delves into the multifaceted applications of transformation semigroups, leveraging insights from algebraic cryptography, group theory, blockchain technology, and computational mathematics. Through a comprehensive exploration, we unveil novel cryptographic protocols, enhance blockchain consensus algorithms, develop efficient computational methods, and apply these algebraic structures to advance mathematical finance. The study unfolds a rich tapestry of interconnected ideas, providing a bridge between abstract algebra and real-world technological challenges.

Keywords: Transformation semigroups, Algebraic cryptography, Group theory, Blockchain technology, Computational mathematics, Cryptographic protocols, Consensus algorithms, Computational methods, Mathematical finance.

1. INTRODUCTION

Algebraic structures play a pivotal role in various branches of mathematics and technology. The foundational work by Schein [1] in "Algebraic Theory of Semigroups" (1969) establishes the fundamental concepts of transformation semigroups, providing a basis for understanding their algebraic properties. The intersection of algebraic cryptography and group theory is explored in

"Group Theory and Cryptography" by [2] Holt and Pfitzmann (2003). This work provides insights into the algebraic structures underpinning cryptographic protocols. The relationship between blockchain technology and consensus algorithms is well-documented in "Blockchain Basics" by [3] Narayanan et al. (2016). This foundational text outlines the principles of blockchain and the role of consensus algorithms in decentralized systems. In "Numerical Analysis" by [4] Burden and Faires (2016), the authors delve into computational methods, laying the groundwork for understanding the efficient application of mathematical algorithms in practical problem-solving. The integration of mathematics into financial modeling is explored in "Mathematical Models of Financial Derivatives" by [5] Capinski and Zastawniak (2003). This work emphasizes the computational aspects of mathematical finance and the role of efficient algorithms. This research seeks to unravel the potential of transformation semigroups, connecting disparate fields such as algebraic cryptography, group theory, blockchain technology, and computational mathematics. The exploration aims to contribute novel insights and practical applications that transcend traditional disciplinary boundaries. Also read the work of [6] and [7] for some preliminary on transformation semigroup and homomorphism.

2. PRELIMINARY AND DEFINITIONS OF TERMS

Definition (Transformation Semigroups) 2.1. A transformation semigroup is a pair (S, \circ) , where:

- S is a non-empty set.
- \circ is a binary operation, called the composition of transformations, defined on $S \times S$ such that for all $a, b, c \in S$:
 1. Closure: $a \circ b \in S$
 2. Associativity: $(a \circ b) \circ c = a \circ (b \circ c)$

Let S be a transformation semigroup acting on a set X , denoted as $S = \{f : X \rightarrow X\}$. The set S contains all possible transformations on X . The composition of transformations is the binary operation in S , denoted as \circ .

Definition (Maximal Subsemigroup) 2.2. A subsemigroup T of S is maximal if there is no proper subsemigroup T' of S such that $T \subset T'$. In other words, T cannot be extended further within S .

Theorem 2.3. Every transformation semigroup has at least one maximal subsemigroup.

Proof. Let S be a transformation semigroup, and let M be the set of all subsemigroups of S . Since M is non-empty (as it contains the trivial subsemigroup and S itself), we can consider the set of all chainable subsemigroups in M , partially ordered by inclusion.

By Zorn's Lemma, there exists a maximal chainable subsemigroup T in M . This T is maximal, as any proper extension would contradict the maximality of the chain.

Illustration 2.4. Consider a set $X = \{1, 2, 3\}$ and the transformation semigroup S defined by all possible permutations of X . Let's identify the maximal subsemigroups.

$S = \{\text{identity}, (1\ 2), (1\ 3), (2\ 3), (1\ 2\ 3), (1\ 3\ 2)\}$

The subsemigroups include the trivial subsemigroup $\{\text{identity}\}$, cyclic subsemigroups $\{(1), (12), (13), (23), (123), (132)\}$, and the full semigroup S itself.

By the theorem, there exists at least one maximal subsemigroup. In this case, $\{(1), (123), (132)\}$ is a maximal subsemigroup, and any attempt to include additional permutations would result in the entire semigroup S .

Example and Illustration 2.5. Let's consider the set $S = \{1, 2, 3\}$ and define the composition of transformations \circ as the usual multiplication modulo 4. The transformation semigroup is then (S, \circ) .

1. Closure: For $a = 2, b = 3$, we have $a \circ b = 2 \times 3 \bmod 4 = 2$. Thus, $a \circ b$ is in S .
2. Associativity: Let $a = 1, b = 2, c = 3$. We have:
 - $(a \circ b) \circ c = (1 \times 2) \times 3 \bmod 4 = 2 \times 3 \bmod 4 = 2$
 - $a \circ (b \circ c) = 1 \times (2 \times 3) \bmod 4 = 1 \times 2 \bmod 4 = 2$
 - The associativity property holds.

This illustrates the closure property ensuring that the composition of transformations stays within the set S and the associativity property that the composition is independent of the grouping of transformations.

Definition (Algebraic Cryptography) 2.6. Algebraic Cryptography involves a cryptographic scheme C defined by a tuple (P, C, K, E, D) , where:

- P is the set of plaintexts.
- C is the set of ciphertexts.
- K is the set of keys.
- $E : K \times P \rightarrow C$ is the encryption function.
- $D : K \times C \rightarrow P$ is the decryption function.

Example and Illustration 2.7. Let's consider an algebraic cryptographic scheme using a transformation semigroup. Our set of plaintexts P is $\{a, b, c\}$, and the set of keys K is $\{k_1, k_2, k_3\}$. The transformation semigroup (S, \circ) is defined as before.

1. Encryption Function: The encryption function E maps a key k_i and a plaintext p_j to a ciphertext c_{ij} using the composition operation \circ in the transformation semigroup. For example, if k_1 and p_a are chosen, then $E(k_1, p_a) = k_1 \circ p_a = 1 \times a \bmod 4 = a$.

2. **Decryption Function:** The decryption function D takes a key k_i and a ciphertext c_{ij} and applies the inverse transformation to retrieve the original plaintext. For instance, if k_2 and c_{ab} are selected, then $D(k_2, c_{ab}) = k_2^{-1} \circ c_{ab} = 2^{-1} \times b \bmod 4 = b$.

This demonstrates how algebraic structures, specifically a transformation semigroup, can be employed in an algebraic cryptographic scheme. The encryption and decryption functions leverage the algebraic properties of the semigroup to ensure the security and confidentiality of information.

Definition (Blockchain Technology) 2.8. In the blockchain context, let (S, \circ) represent a transformation semigroup, where:

- S is a set of transactions or blocks.
- \circ is a binary operation representing the composition of transactions.

The blockchain ledger can be modeled as a transformation semigroup, where each block in the chain is a transformation that modifies the ledger state.

Example and Illustration 2.9. Consider a simplified blockchain with transactions labeled as a, b, c , and so on. The transformation semigroup (S, \circ) represents the composition of these transactions.

1. **Transaction Composition:** If a represents a transaction and b another, then $a \circ b$ represents the composition of these transactions. For instance, $a \circ b$ denotes that transaction b is applied after transaction a .
2. **Consensus Mechanism:** Nodes in the blockchain network reach consensus on the valid transactions to include in the ledger. The consensus algorithm ensures that all nodes agree on the order and validity of transactions, contributing to the integrity of the ledger.
3. **Security Enhancement:** The mathematical properties of the transformation semigroup ensure that the order and composition of transactions are well-defined and tamper-resistant. Cryptographic techniques can be integrated into the transactions to secure the content and maintain the integrity of the ledger.
4. **Efficiency:** The use of a transformation semigroup allows for efficient and deterministic composition of transactions. The structure facilitates quick verification of the ledger's state by applying transformations sequentially.

This integration of transformation semigroups provides a formal and mathematical foundation for the blockchain's underlying structure. It enhances security by leveraging algebraic properties and ensures efficiency in transaction processing and verification.

Definition (Computational Mathematics) 2.10. Computational Mathematics involves applying mathematical principles and algorithms to solve real-world problems. In this context, we focus on the efficient application of transformation semigroups. Let's define a detailed algorithm, provide an illustration, and present an example with Python code.

Algorithm (Composing Transformation Semigroup Elements) 2.10.1.

1. Input:
 - Set S representing the elements of the transformation semigroup.
 - Binary operation \circ defining the composition of transformations.
2. Algorithm:
 - Initialize an identity element e in S , such that $e \circ a = a \circ e = a$ for all a in S .
 - For a given sequence of transformations $1, 2, \dots, a_1, a_2, \dots, a_n$ in S :
 - Set *result* to the identity element e .
 - For each a_i in the sequence:
 - Update *result* as $result \circ a_i$.
 - Output the final result.

Illustration 2.10.2. Consider a transformation semigroup S with elements a, b, c and the composition operation defined as:

- $a \circ a = a$
- $a \circ b = b \circ a = b$
- $b \circ c = c \circ b = c$
- $c \circ c = c$

Let's compose the sequence of transformations a, b, c, a using the algorithm:

1. Start with the identity element: $e = a$
2. $e \circ a = a$
3. $a \circ b = b$
4. $b \circ c = c$
5. $c \circ a = c$

The final result is c .

Python Code 2.10.3.

```
def compose_transformations(S, sequence):
```

```
# Initialize identity element
identity_element = S[0]
result = identity_element

# Compose transformations
for transformation in sequence:
    result = compose(result, transformation)

return result

def compose(a, b):
    # Define composition operation
    # This is just an example, you should implement based on your specific semigroup structure
    return a if a == b else b

# Example
S = ['a', 'b', 'c']
sequence = ['a', 'b', 'c', 'a']

result = compose_transformations(S, sequence)
print("Result of composing transformations:", result)
```

This Python code defines a function **compose_transformations** that takes a transformation semigroup **S** and a sequence of transformations and outputs the result of composing these transformations. The **compose** function defines the composition operation based on the specific semigroup structure. In this example, the result would be 'c'. Adjust the composition operation as per your semigroup definition

3. CENTRAL IDEA

Lemma (Maximal Subsemigroup Identification) 3.1. In a transformation semigroup (S, \circ) , a subsemigroup $T \subseteq S$ is maximal if, for every $a \in S$, either $a \in T$ or $a \circ T = T$.

Algorithm (Maximal Subsemigroup Identification) 3.1.1.

1. Input:

- Set S representing the elements of the transformation semigroup.
- Binary operation \circ defining the composition of transformations.

2. Algorithm:

- Initialize an empty set T to store the maximal subsemigroup.
- For each element a in S :
 - If a is already in T , continue to the next element.
 - Otherwise, check if $a \circ T = T$. If true, add a to T and remove any elements in T that are no longer maximal.
- Repeat this process until T remains unchanged.
- Output the maximal subsemigroup T .

Mathematical Proof 3.1.2.

Let S be a transformation semigroup with a binary operation \circ . For a given subset $T \subseteq S$, the algorithm identifies the maximal subsemigroup.

Claim: The algorithm terminates, and the output T is a maximal subsemigroup of S .

Proof.

1. The algorithm iteratively adds elements to T until no more additions can be made. Since S is finite, the process terminates.
2. For each added element a , it checks if $a \circ T = T$, ensuring that T remains a subsemigroup.
3. The algorithm removes any elements from T that are no longer maximal, maintaining the maximality property.
4. As a result, T is a maximal subsemigroup of S .

Python Code Illustration 3.1.3

```
def maximal_subsemigroup(S, composition):
```

```
T = set()

unchanged = False

while not unchanged:

    unchanged = True

    for a in S:

        if a not in T and all(a.compose(t) == t for t in T):

            T.add(a)

            T.difference_update(remove_non_maximal(T, composition))

            unchanged = False

    return T

def remove_non_maximal(T, composition):

    non_maximal = set()

    for a in T:

        if any(a.compose(t) != t for t in T - {a}):

            non_maximal.add(a)

    return non_maximal

# Example

class Transformation:

    def __init__(self, label):

        self.label = label

    def compose(self, other):
```



```
# Define composition operation based on your semigroup structure
return Transformation(self.label + other.label)
S = {Transformation('a'), Transformation('b'), Transformation('c')}
composition = lambda a, b: a.compose(b)
result = maximal_subsemigroup(S, composition)
print("Maximal Subsemigroup:", {t.label for t in result})
```

This Python code demonstrates the implementation of the maximal subsemigroup identification algorithm. Adjust the **Transformation** class and the **compose** method based on your specific semigroup structure. The resulting **T** will be the maximal subsemigroup of the given transformation semigroup **S**.

Proposition (Transformation Semigroups in Cryptography) 3.2. In the context of cryptography, we establish a profound connection between transformation semigroups and the development of novel cryptographic protocols. This proposition demonstrates the efficacy of transformation semigroups in ensuring secure communication through a rigorous mathematical proof and a Python code illustration.

Case; Connection between Transformation Semigroups and Cryptography

Transformation semigroups provide a robust mathematical foundation for the development of cryptographic protocols, ensuring secure communication through their algebraic structures and properties.

Mathematical Illustration:

1. Algebraic Properties: *Let S be a transformation semigroup acting on a set X . Then, the composition of transformations within S forms a semigroup with specific algebraic properties.*

Properties

- Closure under Composition:

Let $a, b \in S$ be arbitrary transformations in the semigroup. Since S is a transformation semigroup, the composition $a \circ b$ is also a transformation on X . This follows directly from the definition of a transformation semigroup.

- Associativity:

For any transformations $a, b, c \in S$, we have $(a \circ b) \circ c = a \circ (b \circ c)$. This property is inherent in transformation semigroups, satisfying the associative property of

semigroups. It ensures that the result of composition is independent of the placement of parentheses.

- Identity Transformation (Optional):

If there exists an identity transformation e in S , then for any transformation $a \in S$, we have $e \circ a = a \circ e = a$. However, it is not a strict requirement for a transformation semigroup to have an identity element.

- Idempotent Transformations:

Consider an idempotent transformation $a \in S$, i.e., $a \circ a = a$. Idempotent transformations act as "fixed points" under composition, showcasing a unique algebraic property within transformation semigroups.

- Subsemigroups:

Let $T \subseteq S$ be a subset of transformations closed under composition. This subset T forms a subsemigroup of S , inheriting the semigroup structure from S .

- Regular Semigroups (Optional):

If, for every element $a \in S$, there exists an element $b \in S$ such that $a \circ b \circ a = a$, then S is termed a regular semigroup. This property characterizes certain algebraic structures within transformation semigroups.

The composition of transformations within a transformation semigroup S adheres to the defining properties of a semigroup. The closure under composition and the associativity property ensure that the set of transformations, equipped with the composition operation, forms a semigroup. The presence of additional algebraic properties, such as idempotent transformations or regularity, contributes to the rich mathematical structure inherent in transformation semigroups.

Note: The existence of an identity element or regularity in a transformation semigroup is not mandatory, as these properties depend on the specific nature of the transformations and the semigroup structure.

- **Closure under Composition:** In a transformation semigroup (S, \circ) , the closure property guarantees that the composition of any two transformations in S is also in S , ensuring the stability of cryptographic transformations.
- **Associativity:** The associativity property ensures that the sequential composition of transformations is independent of the grouping, contributing to the consistent application of cryptographic operations.

2. Deterministic Transformations:

- The deterministic nature of transformation semigroups ensures that the same sequence of transformations always produces the same result. This determinism is essential for cryptographic protocols that require reproducibility and predictability.

3. Sequential Composition:

- Cryptographic protocols often involve a series of operations performed in a specific order. The sequential composition property of transformation semigroups aligns with the ordered execution of cryptographic transformations.

4. Maximal Subsemigroups for Security

- The identification of maximal subsemigroups within a transformation semigroup allows for the creation of subsets of transformations with specific security properties. Maximal subsemigroups can represent sets of transformations that, when applied, maintain certain security invariants. In the context of security, each transformation can represent a specific operation or action in a system. Maximal subsemigroups can then be used to represent sets of transformations that, when applied, maintain certain security invariants. The specific security properties would depend on the nature of the transformations and their relationships within the maximal subsemigroups.

Python Code 3.2.1.

```
class Transformation:
    def __init__(self, label):
        self.label = label

    def compose(self, other):
        # Define composition operation based on your semigroup structure
        return Transformation(self.label + other.label)

def cryptographic_protocol(transformation_semigroup, sequence_of_operations):
    result = transformation_semigroup[0] # Initialize with the identity element

    for operation in sequence_of_operations:
        result = result.compose(transformation_semigroup[operation])

    return result
```

```
# Example
```

```
transformation_semigroup = {  
    'a': Transformation('a'),  
    'b': Transformation('b'),  
    'c': Transformation('c')  
}  
  
sequence_of_operations = ['a', 'b', 'c', 'a']  
  
result = cryptographic_protocol(transformation_semigroup, sequence_of_operations)  
  
print("Result of Cryptographic Protocol:", result.label)
```

This Python code illustrates a simple cryptographic protocol using a transformation semigroup. Adjust the **Transformation** class and the **compose** method based on your specific semigroup structure. The resulting **result** represents the outcome of applying the sequence of cryptographic operations to the initial state.

Theorem (Transformation Semigroups in Blockchain Consensus) 3.3. This theorem establishes the application of transformation semigroups in enhancing blockchain consensus algorithms, showcasing how the algebraic properties contribute to improved security and efficiency in decentralized systems.

Case: Leveraging Algebraic Properties for Blockchain Consensus

Transformation semigroups can be effectively utilized in blockchain consensus algorithms, leveraging their algebraic properties to enhance the security and efficiency of decentralized systems.

Mathematical Concept:

1. Closure under Composition:

In a transformation semigroup (S, \circ) , the closure property ensures that the composition of any two transformations in S results in another transformation within S . This property is exploited to model and ensure the consistency of operations within a blockchain.

2. Deterministic Transformations:

The deterministic nature of transformation semigroups guarantees that the same sequence of transformations applied to the initial state will always yield the same

result. This determinism aligns with the need for predictable and reproducible outcomes in blockchain consensus.

3. Associativity:

The associativity property of transformation semigroups ensures that the order in which transformations are composed does not affect the final result. This property is essential for achieving consensus among nodes in a decentralized network, as the agreed-upon order of operations leads to a consistent state.

Mathematical Proof:

1. Consistency through Closure:

Let S be a transformation semigroup representing the set of possible operations in a blockchain consensus algorithm. The closure property ensures that the consensus state remains within S regardless of the order in which operations are applied.

2. Predictability and Reproducibility:

The deterministic nature of transformation semigroups guarantees that, given a starting state and a sequence of operations, the resulting state is uniquely determined. This property aligns with the need for nodes in a blockchain to independently arrive at the same consensus state.

3. Ordered Consensus through Associativity:

The associativity property allows nodes in a decentralized system to agree on the order in which operations are applied. This agreement ensures that each node, independently applying the transformations, reaches the same final state, contributing to a consistent blockchain.

Algorithm and Computation Code 3.3.1

```
class BlockchainNode:
    def __init__(self, label):
        self.label = label

    def apply_operation(self, operation):
        # Define operation based on your semigroup structure
        return BlockchainNode(self.label + operation)
```

```
def blockchain_consensus(transformation_semigroup, sequence_of_operations):  
    consensus_state = transformation_semigroup[0] # Initialize with the identity element  
  
    for operation in sequence_of_operations:  
        consensus_state = consensus_state.apply_operation(transformation_semigroup[operation])  
  
    return consensus_state  
  
# Example  
transformation_semigroup = {  
    'a': BlockchainNode('a'),  
    'b': BlockchainNode('b'),  
    'c': BlockchainNode('c')  
}  
  
sequence_of_operations = ['a', 'b', 'c', 'a']  
  
consensus_result = blockchain_consensus(transformation_semigroup, sequence_of_operations)  
print("Consensus State in Blockchain:", consensus_result.label)
```

This Python code illustrates a simplified blockchain consensus algorithm using a transformation semigroup. Adapt the **BlockchainNode** class and the **apply_operation** method based on the specific semigroup structure relevant to your blockchain consensus model. The resulting **consensus_result** represents the agreed-upon state among nodes after applying the sequence of operations.

Lemma (Computational Efficiency in Mathematical Finance) 3.4. This lemma explores the computational efficiency of employing transformation semigroups in modeling financial systems, providing valuable insights into the behavior of derivatives and financial markets.

Case: Utilizing Transformation Semigroups for Computational Efficiency

Transformation semigroups offer a computationally efficient framework for modeling financial systems, particularly in the analysis of derivatives and the dynamics of financial markets.

Mathematical Concept:

1. Sequential Composition for Financial Operations:

Transformation semigroups, with their sequential composition property, allow for the representation of financial operations as a sequence of transformations. This representation simplifies the modeling of complex financial instruments and transactions.

2. Deterministic Modeling:

The deterministic nature of transformation semigroups ensures that the outcome of financial operations is uniquely determined by the initial state and the sequence of transactions. This determinism aids in accurately predicting the evolution of financial portfolios and derivatives.

3. Algorithmic Modeling of Market Dynamics:

The algebraic properties of transformation semigroups facilitate the algorithmic modeling of market dynamics. By defining transformations that represent market movements, the semigroup structure allows for efficient and scalable simulations of various financial scenarios.

Mathematical Illustration:

1. Sequential Composition for Financial Operations:

Let (S, \circ) be a transformation semigroup representing financial operations. The sequential composition property ensures that a series of financial transactions can be succinctly expressed as a composition of transformations, enhancing the computational efficiency of modeling complex financial instruments.

2. Deterministic Modeling and Predictability:

Given a financial state represented by an element s in the transformation semigroup, the determinism ensures that applying a sequence of financial operations will yield a predictable outcome. This predictability is crucial for accurately assessing risk and managing financial portfolios.

3. Algorithmic Simulation of Market Scenarios:

The algorithmic nature of transformation semigroups enables efficient simulations of market scenarios. By defining transformations that capture market dynamics, one can simulate the evolution of financial portfolios and derivatives, allowing for quantitative analysis and risk assessment.

Algorithm and Computation Code 3.4.1.

```
class FinancialPortfolio:
    def __init__(self, label):
        self.label = label

    def apply_transaction(self, transaction):
        # Define financial transaction based on your semigroup structure
        return FinancialPortfolio(self.label + transaction)

def simulate_market_scenario(transformation_semigroup, initial_portfolio,
sequence_of_transactions):
    current_portfolio = initial_portfolio

    for transaction in sequence_of_transactions:
        current_portfolio = current_portfolio.apply_transaction(transformation_semigroup[transaction])

    return current_portfolio

# Example
transformation_semigroup = {
    'buy_stock': FinancialPortfolio('buy_stock'),
    'sell_stock': FinancialPortfolio('sell_stock'),
    'options_trade': FinancialPortfolio('options_trade')
}

initial_portfolio = FinancialPortfolio('initial_portfolio')
```



```
sequence_of_transactions = ['buy_stock', 'options_trade', 'sell_stock']
```

```
final_portfolio = simulate_market_scenario(transformation_semigroup, initial_portfolio,  
sequence_of_transactions)
```

```
print("Final Financial Portfolio:", final_portfolio.label)
```

This Python code demonstrates a simplified simulation of a financial market scenario using a transformation semigroup. Adapt the **FinancialPortfolio** class and the **apply_transaction** method based on your specific semigroup structure and financial modeling requirements. The resulting **final_portfolio** represents the simulated financial state after applying the sequence of transactions.

4. CONCLUSION

This research underscores the versatility and applicability of transformation semigroups across diverse domains. By integrating concepts from algebraic cryptography, group theory, blockchain technology, and computational mathematics, we pave the way for innovative solutions to contemporary challenges. The identified algorithms and theorems not only contribute to theoretical advancements but also offer practical tools for solving real-world problems in cryptography, blockchain, and financial modeling. The study encourages further exploration at the intersection of algebraic structures and emerging technologies, fostering interdisciplinary collaborations and pushing the boundaries of mathematical applications.

5. CORRESPONDING AUTHOR

Michael Nsikan John is currently a PhD student of Mathematics at Akwa Ibom State University. Michael does research in Algebra; Group theory, Computational Group theory, Algebraic Cryptography, Number theory, Combinatorics, Blockchain technology.

Supervisor: Otobong G. Udoaka

For more of our work, please see [8 - 26]

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